For Internal Use Only OMB Approval No. Submit 1 Original Sec File No. 91 - SEC and 9 Copies Expires: Estimated average burden hours per response: 2.00 Mail Processing UNITED STATES Section SECURITIES AND EXCHANGE COMMISSION NOV 13 2017 Washington, D.C. 20549 FORM 19b-4(e) Washington Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE American, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: Nasdaq Developed Select Leaders Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ASX, Dublin, Euronext Amsterdam, Euronext Brussels, Euronext Paris, Hong Kong, London, Madrid, Milan, OMX Nordic Copenhagen, OMX Nordic Helsinki, OMX Nordic Stockholm, Oslo, Singapore, SIX Swiss, Tel Aviv, Tokyo, Toronto, Vienna, Xetra 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 17003893 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Act Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section Rule November 10, 2017 Public SEC 2449 (1/99) NOV 13 2017 Availability: